



## **Financial instruments under the scope of CaixaBank Best Execution Policy**



Version	v.2020
Last update and approval	28 <sup>th</sup> April 2020
Update rationale	Annual review
Next expected version	Abril 2021
Responsible area at CaixaBank	Direccion Financiera

## LIST OF FINANCIAL INSTRUMENTS UNDER THE SCOPE OF CAIXABANK BEST EXECUTION POLICY.

Definition of each type of instrument according to Annex I of Delegated Regulation (UE) 2017/576.

Financial instruments	Execution service	Brokerage service	Deal with own account
<b>Equities, shares and depositary receipts</b>			
Equity	✓	✓	
ETFs	✓	✓	
<b>Debt instruments</b>			
Bonds	✓		✓
Money market instruments	✓	✓	
<b>Interest rate derivatives</b>			
Futures and options admitted to trading on a trading venue	✓		
Swaps, forwards and other interest rate derivatives			✓
<b>Credit derivatives</b>			
Futures and options admitted to trading on a trading venue		-	
Other credit derivatives			✓
<b>Currency derivatives</b>			
Futures and options admitted to trading on a trading venue		✓	
Swaps, forwards and other currency derivatives			✓
<b>Structured finance</b>			
Structured finance instruments	✓		✓
<b>Equity derivatives</b>			
Options and futures admitted to trading on a trading venue	✓	✓	
Swaps and other equity derivatives			✓
<b>Securitized derivatives</b>			
Warrants and certificate derivatives	✓		
Other securitized derivatives		-	
<b>Commodities derivatives and emission allowance derivatives</b>			
Options and futures admitted to trading on a trading venue		✓	
Other commodities derivatives and emission allowance derivatives			✓

Financial instruments	Execution service	Brokerage service	Deal with own account
Contracts for difference		-	
Exchange traded products (exchange traded funds, exchanged traded notes and exchanged traded commodities)		-	
Emission allowance		-	
Other instruments		-	

**CAIXABANK, S.A.**